

Party Donations and Loans Return for the year ending 31 December 2016

Fill in boxes highlighted in yellow
 If completing the form manually - also fill in orange boxes

Party Name BAN 1080

Party Secretary Name David William Hector

I declare that to the best of my knowledge this return contains all donations and loans information required pursuant to sections 210 and 214C of the Electoral Act 1993, is an accurate record of the party donations and loans and is not false in any material particular.

Signed: *D Hector*
 (Party Secretary)

Date: 27-4-17
 (dd/mm/yyyy)

Where you have completed the return electronically you will need to print the return. The return needs to be signed and dated by the party secretary and both the party secretary and the auditor must initial each page of the return. The signed return and the auditor's report must be received by the Electoral Commission by **Monday, 1 May 2017** (as returns are due by Sunday, 30 April 2017 they can be filed on the next business day). Returns can be filed:

- By post at PO Box 3220 Wellington 6140
- Delivered to Level 10, 34-42 Manners Street, Wellington
- By fax to 04 495 0031
- By email to enquiries@elections.govt.nz

CHECKLIST

| | |
|--|---|
| Parts A to I completed - if no donations or loans in a Part, then enter Nil in the first row | ✓ |
| Party Secretary has initialled every page | ✓ |
| All relevant supporting documentation supplied to auditor | ✓ |
| Auditor has stamped and/or initialled every page | ✓ |
| Auditor's report enclosed | ✓ |
| Representation letter enclosed, if used | ✓ |

Party Secretary Initial:



Page 1 of 10 Auditor Stamp/Initial:

A: Every donor who has donated over \$15,000 during the year

Include aggregated donations received from the same donor during the year that exceed \$15,000 (including those exceeding \$30,000 received during the year and reported under section 210C).

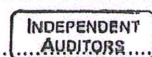
Do not include in Part A contributors to donations, anonymous or overseas donations, donations protected from disclosure, or donations not exceeding \$15,000 (see Parts B, C, D, E, F and G).

The requirement to identify whether a donation contains contributions is in section 210(1)(b).

Total A \$0.00

| | | Party Name | | |
|--------------|-----------------|--|---|--|
| | | BAN 1080 | | |
| Donor's name | Donor's address | Date donation received (or dates of each aggregated donation) DD/MM/YYYY | Does the donation contain contributions? (Yes or No) | Amount of donation or total aggregated donations \$0.00 |
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Party Secretary Initial: *DA*



B: Every contributor who has contributed over \$15,000 during the year Sections 210(1)(b) and 210(3)

This includes aggregations of all contributions from the same person to any donation during the year.
 Contributors are defined in section 207, and the requirement to identify contributors is in section 207C.

Total A \$0.00

| | | Party Name | | |
|--------------------|-----------------------|---|-----------------------------|-----------------------------------|
| | | BAN 1080 | | |
| Contributor's name | Contributor's address | Donation (number) in Part A that contribution was part of (if applicable) | Date of donation DD/MM/YYYY | Amount of the contribution \$0.00 |
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Nil

Party Secretary Initial: DA


Auditor Stamp/Initial INDEPENDENT AUDITORS

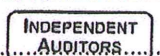
C: Every anonymous donation received that was over \$1,500
Sections 210(1)(c) and 210(4)

If an anonymous donation is over \$1,500 the party is entitled to keep \$1,500 and must pay the excess to the Electoral Commission within 20 working days.

Anonymous is defined in section 207, and the requirement to relinquish the excess of anonymous donations is set out in section 207I.

| Total \$0.00 | | Total \$0.00 | | Party Name | |
|--|--|---|---|--|-----------------------------|
| Nil | | Nil | | BAN 1080 | |
| Date anonymous donation received DD/MM/YYYY | Amount of anonymous donation \$0.00 | Amount paid to Electoral Commission \$0.00 | Date paid to Electoral Commission DD/MM/YYYY | (For Electoral Commission office use only) | |
| | | | | Amount of payment \$0.00 | Date received DD/MM/YYYY |
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Party Secretary Initial: 

Auditor Stamp/Initial 

D: Every donation from an overseas person that was over \$1,500

If a donation from an *overseas person* is over \$1,500 (either on its own or when aggregated with all other donations made by or on behalf of the same overseas person during the year) the party is entitled to keep \$1,500 and within 20 working days must either return the excess to the donor or pay the excess to the Electoral Commission.

Overseas person is defined in section 207K.

| | | Total \$0.00 | | | | Total \$0.00 | Party Name | |
|------------------------|---------------------------|---|---|--|---|--|--|--------------------------|
| | | Nil | | | | Nil | BAN 1080 | |
| Name of overseas donor | Address of overseas donor | Amount of overseas donation or total aggregated overseas donations \$0.00 | Date overseas donation received or dates of each aggregated donation DD/MM/YYYY | Was the excess returned to the donor or paid to the Electoral Commission ? | Amount returned to donor or paid to Electoral Commission \$0.00 | Date excess returned to donor or paid to Electoral Commission DD/MM/YYYY | (For Electoral Commission office use only) | |
| | | | | | | | Amount of payment \$0.00 | Date received DD/MM/YYYY |
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Party Secretary Initial: DA

E: Every donation with contributions from an overseas person that was over \$1,500

Sections 210(1)(d) and 210(5)

If an *overseas contribution* is over \$1,500 (either on its own or when aggregated with other contributions to the donation by the same overseas person), within 20 days, the party must either return the *entire donation* to the donor or pay it to the Electoral Commission.

Overseas person is defined in section 207K, along with the requirements to relinquish some donations with overseas contributions.

| | | Total \$0.00 | Party Name | | | |
|-------------------------|----------------------------|--|--|--|--|--------------------------|
| | | Nil | BAN 1080 | | | |
| Name of overseas person | Address of overseas person | Amount of contribution \$0.00 or total aggregated contribution | Donation number in Part A or Part D that the contribution was part of and date donation made e.g. A13 13/08/2016 | Date donation returned to donor or paid to Electoral Commission DD/MM/YYYY | (For Electoral Commission office use only) | |
| | | | | | Amount of payment \$0.00 | Date received DD/MM/YYYY |
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Party Secretary Initial: *DA*

Auditor Stamp/Initial **INDEPENDENT AUDITORS**

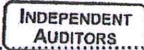
F: All payments from the Electoral Commission of donations protected from disclosure
 Sections 210(1)(e) and 210(6)

Donations protected from disclosure are defined in section 208.

| | | Total \$0.00 | Total \$0.00 | Party Name | |
|-------------------------------------|-----------------------------|---|-----------------------------|-------------------------|--|
| | | Nil | Nil | BAN 1080 | |
| | | <i>(For Electoral Commission office use only)</i> | | | |
| Date payment received DD/MM/YYYY | Amount of payment \$0.00 | Amount of interest included in payment \$0.00 | Amount of payment \$0.00 | Date sent DD/MM/YYYY | |
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Party Secretary Initial: DA

Auditor Stamp/Initial



G: Details of all other party donations received

Sections 210(1), (f) and (6A)

Include here the total number and value of other party donations received that must be disclosed in accordance with section 210(1)(f) and that have not been disclosed in Parts A to E.

There is no requirement to aggregate donations from the same donor for the purposes of determining what donations to include and in which band in Part G. If a donor has made more than one donation in a category each donation should be counted separately when calculating the number of donations.

| Party Name | Total \$0.00 |
|------------|--------------|
| BAN 1080 | Nil |

| Description of Donation | Number of donations No. | Total amount of donations \$0.00 |
|---|----------------------------|-------------------------------------|
| Anonymous donations not exceeding \$1,500 | | Nil |
| Overseas donations not exceeding \$1,500 | | Nil |
| Donations exceeding \$1,500 but not exceeding \$5,000 | | Nil |
| Donations exceeding \$5,000 but not exceeding \$15,000 | | Nil |

Party Secretary Initial: *PA*

Auditor Stamp/Initial **INDEPENDENT AUDITORS**

H: Loans exceeding \$15,000

Sections 214C(1)(a) and (b), 214C(2) and 214C(3)

Include loans from the same lender exceeding \$15,000 entered into during the year (including those exceeding \$30,000 that have been reported during the year under section 214F).

Include loans exceeding \$15,000 entered into in any previous year (from 25 March 2014) that have an unpaid balance exceeding \$15,000 as at 31 December 2016.

Loans from the same lender need to be aggregated. Include loans entered into during the year not exceeding \$15,000, but that exceed \$15,000 when aggregated with all other loans from the same lender during the year or unpaid balances of any loans provided by the same lender in any previous year (from 25 March 2014).

The unpaid balance amount is as at 31 December 2016.

Party Name:

BAN 1080

| Lender's name and address | Loan amount \$0.00 | Date loan entered into DD/MM/YYYY | Repayment date (if no repayment date, specify "no repayment date" here) |
|--|----------------------------------|--------------------------------------|---|
| | Nil | | |
| Guarantor's name and address (if any) | Unpaid balance of loan \$0.00 | Interest rate or rates | Details of any security given |
| | | | |
| Total aggregated loan amount from the same lender (if applicable) | | | |
| Any terms (that enable the lender to reduce or extinguish the loan amount and/or interest or grant any concession in respect of repayment) | | | |

| Lender's name and address | Loan amount \$0.00 | Date loan entered into DD/MM/YYYY | Repayment date (if no repayment date, specify "no repayment date" here) |
|--|----------------------------------|--------------------------------------|---|
| | | | |
| Guarantor's name and address (if any) | Unpaid balance of loan \$0.00 | Interest rate or rates | Details of any security given |
| | | | |
| Total aggregated loan amount from the same lender (if applicable) | | | |
| Any terms (that enable the lender to reduce or extinguish the loan amount and/or interest or grant any concession in respect of repayment) | | | |

Party Secretary Initial:

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DA.
 INDEPENDENT
 AUDITORS

I: Details of all other party loans

Section 214C(1)(c) and 214C(4)

Include here the total number and value of all other party loans entered into during the year of \$1,500 or more up to and including \$15,000 that must be disclosed in accordance with 214C(1)(c) and that have not already been disclosed in Part H.

If a lender has made more than one loan to the party of between \$1,500 and \$15,000 each loan should be counted separately when calculating the total number of loans, for the purposes of Part I.

| Party Name | Total \$0.00 |
|------------|--------------|
| BAN 1080 | Nil |

| | Number of loans No. | Total amount of loans \$0.00 |
|---|------------------------|---------------------------------|
| Loans of not less than \$1,500 and not more than \$15,000 | | |

Party Secretary Initial: *DA*

INDEPENDENT AUDITOR'S REPORT

To the Electoral Commission

We have audited the attached **Party Donations and Loans Return** (The Return) for the year ended 31 December 2016. The Return is made in accordance with Section 210 and 214C of the Electoral Act 1993. The Return sets out the election donations and loans received by the Ban 1080 Party (The Party) for the year ended 31 December 2016.

Party Secretary's Responsibilities

The Party Secretary is responsible for the preparation of a Party Donations and Loans Return which fairly reflects the election donations and loans received by the Ban 1080 Party for the year ended 31 December 2016.

Auditors' Responsibilities

It is our responsibility to express an independent opinion on The Return presented by the Secretary.

Basis of Opinion

An audit includes examining, on a test basis, evidence relevant to the amounts and disclosures in The Return.

We conducted our audit in accordance with New Zealand auditing standards. We planned and performed our audit so as to obtain all the information and explanations which we considered necessary in order to provide us with sufficient evidence to obtain reasonable assurance that The Return is free from material misstatements, whether caused by fraud or error. In forming our opinion we also evaluated the overall adequacy of the presentation of information in The Return.

Other than in our capacity as auditors we have no relationship with or interests in the Ban 1080 Party.

Qualified Opinion

The Return [C] discloses total anonymous donations that exceeded \$1500 were \$NIL. There are no practical audit procedures to determine that the inclusion and capture of all cash donations have been included. As such we are unable to conclude that all associated donations have been captured in The Return.

In this respect alone we have been unable to form an opinion as to whether the position recorded is correct.

Therefore:

- We have received from the financial agent all the information that we required to carry out our duties;
- Proper records of The Party's election receipts were in our opinion, kept by the financial agent; and
- Access was at all reasonable times available to all records, documents, and accounts that relate to The Party's election donations and loans received and held by the financial agent.

Our audit was completed on 27th April 2017 and our qualified opinion is expressed as at that date.

Independent Auditors Ltd

INDEPENDENT AUDITORS LTD

NELSON

27 April 2017



Ban 1080 Party
PO Box 712
Nelson 7040

27 April 2017

Independent Auditors Limited

PO Box 1042

Nelson 7040

enquires@ban1080.co.nz

Dear Carl

Letter of Representation for Party Donations and Loans Return for the 2016 calendar year

This representation letter is furnished in connection with the return of party donations and loans for the 2016 calendar year (the return) by Ban 1080 Party (the Party) made in accordance with sections 210 and 214C of the Electoral Act 1993 (the Act) which has been subject to an assurance engagement and reported on by you in accordance with sections 210A and 214D of the Act.

I understand that your assurance engagement was conducted in accordance with the relevant provisions of the Act and the applicable auditing and assurance standards issued by the New Zealand Auditing and Assurance Standards Board.

I confirm and take responsibility for the following representations after taking all reasonable steps to assure myself of them:

- 1 The return has been prepared in accordance with the relevant provisions of the Act.
- 2 I am responsible for establishing and maintaining a system of internal control designed to provide reasonable assurance as to the integrity and reliability of the return. I have done this, and all explanations or demonstrations of this to you have been complete and accurate.
- 3 All records, documents and accounts (records) have been kept by the Party (including subsidiary entities) and made available to you, and these materials will be retained in accordance with the requirements of the Act.
- 4 I have disclosed to you to the best of my knowledge:
 - 4.1 any material transactions not disclosed in the records;
 - 4.2 any alleged, suspected or proven illegal activity under the Act or other legislation potentially relevant to the return or instances of non-compliance with applicable requirements;

- 4.3 the fact of, and results from, any assessment made by me that considered whether the records of the Party may be materially misstated or incomplete for any reason; and
 - 4.4 any design deficiencies in the compliance system and instances where that system has not operated as described.
- 5 The return contains the total returnable donations received by the Party in the 2016 calendar year. The return includes:
 - 5.1 donations in the form of money, goods or services paid for by others, discounts given on goods or services provided to the party, premiums paid in respect of good or services provided by the party, and credit provided to the party at terms more favourable than the prevailing commercial terms, including the value of GST on any of those items;
 - 5.2 donations of more than \$15,000 in aggregate from an individual donor;
 - 5.3 donation contributions of more than \$1,500 from an individual contributor that add up to more than \$15,000 in aggregate from that contributor;
 - 5.4 anonymous donations and overseas donations of more than \$1,500;
 - 5.5 donation contributions from an overseas person of more than \$1,500;
 - 5.6 payments received from the Electoral Commission of donations protected from disclosure;
 - 5.7 the number of, and aggregate amount of, anonymous donations, and overseas donations, of \$1,500 or less;
 - 5.8 the number of, and aggregate amount of, donations of more than \$1,500 but not more than \$5,000;
 - 5.9 the number of, and aggregate amount of, donations of more than \$5,000 but not more than \$15,000.
- 6 The return includes:
 - 6.1 Any loans entered into during the year that exceed \$15,000;
 - 6.2 Any loans exceeding \$15,000 entered into in any previous year that have an unpaid balance exceeding \$15,000 as at 31 December 2016;
 - 6.3 Any loans entered into during the year of less than or equal to \$15,000 if the loan exceeds \$15,000 when aggregated with all other loans entered into during the year by the same lender and any unpaid balances of any loans from the same lender in any previous year;
 - 6.4 The number and aggregate amount of all other loans entered into during the year of not less than \$1,500 and not more than \$15,000.

- 7 The return's contents have been determined by considering the questions set out in the Appendix to this letter and the relevant provisions of the Act.
- 8 Where an assessment has been made of the reasonable market value of a donation, the basis of the assessment is fair and appropriate, and has been properly applied and recorded.
- 9 Any and all misstatements you have identified during the course of your assurance engagement have been adjusted in the final return.
- 10 I have completed my own procedures, distinct from your assurance engagement processes, to evaluate the accuracy and completeness of the return.
- 11 The return is free of any material misstatements or omissions.

These representations are made in terms mutually agreed between us, and to supplement information obtained by you from the records of the Party and to confirm information given to you orally.

Yours sincerely



David William Hector

Party Secretary